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October 26, 2005
Date



Mark A. Atkinson, et al.

Serial No. 10/512,008

Filed: April 21, 2003

For: rAAV VECTOR-BASED
COMPOSITIONS AND METHODS FOR
THE PREVENTION AND TREATMENT OF
MAMMALIAN DISEASES

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**Confirmation No.: 4535**

Group Art Unit: Unknown

Examiner: Unknown

Docket No.: 36689.42  
(formerly 4300.014500)

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

**Mail Stop Amendment  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Dear Sir:

In compliance with the duty of disclosure under 37 CFR §1.56, and in accordance with the practice under 37 CFR §1.97 and §1.98, the Examiner's attention is directed to the documents listed on the enclosed modified Form PTO-1449. No inference should be made that the cited references are in fact material, are in fact prior art, or that no better art exists. The cited patents are listed in numerical order and are not in any order based on their pertinence.

Pursuant to the amendment to 37 CFR 1.98 (a)(2)(i), as stated in an OG Notice dated October 12, 2004, copies of the U.S. patent documents listed on the enclosed modified Form PTO-1449 are not attached.

This Information Disclosure Statement is being filed within three months of the United States filing date or before the mailing date of a first Office Action on the merits. No certification or fee is required (37 CFR §1.97(b)).

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account 08-1394.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Respectfully submitted,

Mark Waco

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|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------|-----------------------------------------------------------|
| In place of<br>PTO-1449<br>Form                                                                 | U. S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | Complete if Known                                         |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT E</b><br>(use as many sheets as necessary) |                                                             | Application Number    10/512,008                          |
|                                                                                                 |                                                             | Filing Date            04/21/2003                         |
|                                                                                                 |                                                             | Applicant(s)           Mark A. Atkinson, et al.           |
|                                                                                                 |                                                             | Art Unit                                                  |
|                                                                                                 |                                                             | Examiner Name                                             |
| SHEET                1                                                                          | OFFICE                NOV 11 2005                           | Attorney Docket Number    36689.42 (formerly 4300.014500) |

| U.S. PATENT DOCUMENTS  |             |                 |                                |                                                 |
|------------------------|-------------|-----------------|--------------------------------|-------------------------------------------------|
| Examiner's<br>Initials | Cite<br>No. | Document Number | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|                        |             | 6,943,153       | 09-13-2005                     | Manning, Jr. et al.                             |
|                        |             | 2003/0166284 A1 | 09-04-2003                     | Srivastava et al.                               |
|                        |             | 2002/0194630 A1 | 12-19-2002                     | Manning, Jr. et al.                             |
|                        |             | 2002/0132336 A1 | 09-19-2002                     | Dwarki et al.                                   |
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| FOREIGN PATENT DOCUMENTS |             |                                                              |                                |                                            |                    |
|--------------------------|-------------|--------------------------------------------------------------|--------------------------------|--------------------------------------------|--------------------|
| Examiner's<br>Initials   | Cite<br>No. | Foreign Patent<br>Document<br>(Country Code - Number - Kind) | Publication Date<br>MM-DD-YYYY | Patentee or Applicant of Cited<br>Document | Translation<br>Y/N |
|                          |             | WO 02/24234 A2                                               | 03-28-2002                     | McGee, et al.                              |                    |
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| NON-PATENT LITERATURE DOCUMENTS |             |                                                                                                                                                                              |
|---------------------------------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Examiner's<br>Initials          | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item, date, page(s), volume-<br>issue number(s), publisher, city/country where published |
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| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.